

Role Assignment

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Discussion

A Role Assignment associates an entity with a [Role](#) in a specified context.

A Role defines a function, capacity, or position that may be performed or held. A Role Assignment identifies the entity that performs or holds that Role in a particular activity, transaction, contract, agreement, process, situation, or regulatory context.

The entity may be a [Party](#) or another entity permitted by the applicable model. When a Party performs a Role, the Role Assignment provides the relationship through which the Party participates in the specified context.

A Role Assignment may identify:

- The entity performing or holding the Role
- The assigned Role
- The context in which the Role applies
- The effective period of the assignment
- The authority or basis for the assignment
- A represented Party, when the entity acts on behalf of another Party
- The status of the assignment
- Applicable constraints
- Evidence supporting the assignment
- Provenance describing how the assignment was established

The Role Assignment is distinct from the entity, the Role, and the context. A change to a Role Assignment does not change the identity of the entity or the definition of the Role.

Definition

relationship that associates an entity with a [Role](#) in a specified context

Source

Adapted from:

- [OMG Commons Ontology Library, Version 1.3](#)
 - Relevant ontologies: Roles and Compositions, and Parties and Situations
 - Relevant concepts and relationships: Role, Party Role, is played by, has party role, and applicable period
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The term *Role Assignment* names the explicit relationship structure used by this corpus. OMG Commons provides the underlying concepts of Role, performer, context, and applicable period but does not define a concept having this exact name.

The permanent source record should be maintained in the applicable public References annex when a reference identifier is assigned.

Note

- A Role Assignment is distinct from the Role being assigned
- A Role Assignment is distinct from the entity performing or holding the Role
- An entity may have multiple Role Assignments
- The same Role may be assigned to multiple entities when the applicable context permits
- Assignments of the same Role in different contexts are separate Role Assignments
- A Role Assignment may be limited to a specified effective period
- A Role Assignment does not, by itself, establish that the entity is authorized, qualified, or eligible to perform the Role
- When an entity acts on behalf of another Party, the performing entity and the represented Party are recorded separately
- Ending a Role Assignment does not remove the entity, redefine the Role, or erase the historical assignment
- Evidence and provenance may be retained to explain how the assignment was established, changed, or terminated

Example

Example Bank participates in an FX Trade as a counterparty.

The applicable Role Assignment identifies:

- Example Bank as the Party performing the Role
- Counterparty as the Role
- The FX Trade as the context
- The period during which the assignment applies

If Example Bank is also responsible for regulatory reporting, that responsibility is represented by a separate Role Assignment identifying Reporting Counterparty as the Role and the applicable reporting context.

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